

October 21, 2008 * New York City * Cornell Club

AGENDA

- 8:00am **Registration & Breakfast**
- 8:30am **2008 - The year of "change"**
Opening remarks; Introduction of Board members; Review of Agenda

Richard Leggett, Chairman, Investorside and CEO, BIA Advisors
- 8:45am **The Playing Field 2008**
Statistical Overview of Research Market – 2008

Patrick Shea, Executive Director, Investorside
Michael Mayhew, Chairman, Integrity Research
- 9:05am **Understanding the vote process and how we get paid**
A mock vote using actual vote software – Designed to help members understand how 70% of the research pool is allocated.

Moderator: Robin Howard, Managing Director, State Street
- 9:50am **The changes are forever: returning confidence after the financial crisis**
Discussion on what has changed forever, what remains the same and why these secular events in market structure and regulation are good for investors and the industry. Focus on trading flow, research allocations and other payment topics.

Bob Boylan, VP, Advanced Execution Services Credit Suisse
Keith Ross, CEO, PDQ Enterprises
Randy Williams, SVP, BATS Trading
Lisa Corvese, EVP, InfoEx

Moderator: George Kledaras, CEO, FixFlyer
- 10:45am **Break**
- 11:00pm **Independent Research - What the buy-side really needs**
Panel of buy-side participants discuss research needs, how they value services and various research management practices.

Joseph Doyle, CFA, Partner, Morris Capital Advisors
Constance Hunter, Managing Partner, Coronat Asset Management
Jack O'Hara, CFA, Sr. Analyst, Sentinel Investments

Moderator: John Scott, Managing Director, Paratus Global Partners
- 11:45pm **Marketing Independent Research - What it takes**
A panel of successful independent research providers will discuss practical steps and acts they've taken to achieve success.

Rusty Szurek, President, InsiderScore
Michelle Leder, Editor/Founder, Footnoted.org
Keith McCullough, CEO and Chief Investment Officer, Research Edge, LLC

Moderator: Dave Frankel, Vice President, FirstRain

- 12:30pm **Lunch - Investorside awards**
Recognition of member firms and individuals for outstanding achievement.
- 2:00pm **Distribution - New partnerships, new models**
Experts discuss new marketing channels and other significant changes in the distribution of independent research.
- Susan E. Walton, CEO, OpenSource Alpha
Kenneth Ennis, CEO, ESE Advisory Group
Tom Conigliaro, Managing Director, Hudson Street
John Svolos, Managing Director, Merrill Open Minds
- Moderator:** Sandy Bragg, Chairman, Integrity Research
- 2:50pm **Playing big - Doing more with less - leveraging your business.**
How to be more effective with less. Pro's and con's of outsourcing & other external resources.
- Atul Sood, President, Karvy Global
Kaushik Basu, President, EMatrix Solutions
Jeff Scola, CEO, Spartan Research
Brian O'Day, CEO, Investment Research Consortium LLC
- Moderator:** John Eade, President, Argus Research
- 3:30pm **Break**
- 3:45pm **Regulation: Big changes ahead**
Legal and industry experts discuss current and future regulatory initiatives emanating from the current financial crisis.
- Lee Pickard, Managing Partner, Pickard & Djinis
Faith Colish, Counsel, Carter, Ledyer & Milburn
John Coffee, Adolf A. Berle Professor of Law, Columbia University
- Moderator:** John Meserve, Executive Managing Director, BNY ConvergeEx Group and CEO, BNY Jaywalk
- 4:50pm **Closing remarks**
Richard Leggett, Chairman, Investorside and CEO, BIA Advisors

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